



Notice To Owner Of Family Members Residing With The Named Tenant In The Apartment  
Who May Be Entitled To Succession Rights/Protection From Eviction

Please check appropriate box below:

☐ Notice Requested By Owner ☐ Notice Submitted By Tenant

Mailing Address of Tenant:		Mailing Address of Owner/Agent:
_____ Tenant's Name		_____ Owner's/Agent's Name
_____ Number/Street	_____ Apt. No.	_____ Number/Street
_____ City, State, Zip Code		_____ City, State, Zip Code

Subject Building (if different from tenant's mailing address):

_____ Number and Street	_____ Apt. No.	_____ City, State, Zip Code
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For the purpose of determining the right of a family member to a renewal lease or protection from eviction pursuant to rent regulations, as described on the reverse side of this form, the information below may be requested by owners, or provided by tenants, as follows:

- (1) by owners of rent stabilized apartments, at any time, but no more often than once in twelve months;
- (2) by owners of rent controlled apartments, at any time;
- (3) by tenants of rent stabilized and rent controlled apartments, at any time.

The following information may be requested by owners or provided by tenants:

- (1) the name of any person(s) other than the named tenant(s) who are residing in the apartment;
- (2) whether such person is a "family member"\* of the tenant and if such person is, or upon the passage of the applicable period of required minimum residency, may become, a person entitled to be named as a tenant on a renewal lease (for rent stabilized apartments), or protection from eviction (for rent controlled apartments), and the date such person commenced primary residence with the tenant;
- (3) whether such person is a "senior citizen" or "disabled person."\*

The tenant must provide the owner with the name of any person who has the right to be named as a tenant on a renewal lease, whether or not the owner requests the information.

A statement of general rights and obligations of tenants and owners, including renewal lease rights of stabilized tenants, can be found in the **Rent Stabilization Lease Rider For Apartment House Tenants Residing In New York City or in DHCR Fact Sheets**.

Instructions For Tenant

When a tenant provides a completed copy of this form to the owner, the tenant should obtain and keep proof thereof, including a completed copy of the form. The owner should also keep a completed copy of the form. A family member seeking to establish the right to a renewal lease or to protection from eviction upon the vacancy of the tenant may point to the submission of this form to show that this information was given to the owner. This may provide some evidence of the family member's succession rights; however, an owner may later challenge the statements made in this form. Moreover, an owner may use the information in this form if any later statements are inconsistent with the statements made in this form.

Statement of Tenant

Names of persons other than tenant(s) residing in the apartment.	Date of commencement of primary residence in the apartment by such persons.	Family relationship,* if any (If relationship is not listed under 3 (a) (i) on reverse side, but meets the requirements of 3 (a) (ii), indicate "other family member" or "OFM").	Check box if such persons are senior citizens and/or disabled persons.  <b>Senior Citizen*</b> <b>Disabled Person*</b>
1. _____	_____	_____	<input type="checkbox"/> <input type="checkbox"/>
2. _____	_____	_____	<input type="checkbox"/> <input type="checkbox"/>
3. _____	_____	_____	<input type="checkbox"/> <input type="checkbox"/>
4. _____	_____	_____	<input type="checkbox"/> <input type="checkbox"/>

☐ For additional persons, check box and attach separate sheet.

\_\_\_\_\_  
Signature(s) of Tenant(s) \_\_\_\_\_  
Date

\* See definitions on reverse side of this form

**Family Member's Renewal Lease and Eviction Protection Rights: Rent Stabilization Code Section 2523.5 (b), Tenant Protection Regulations Section 2503.5 (d), City Rent Control Regulations Section 2204.6 (d), State Rent Control Regulations Section 2104.6 (d)**

1. For rent-stabilized and rent-controlled apartments throughout New York State, except where occupancy is restricted by income limitations pursuant to federal, state or local law, regulations or other governmental agency requirements, where a tenant permanently vacates an apartment, any "family member" of the tenant as defined below shall have the right to a renewal lease (rent stabilization), or to protection from eviction (rent control), provided that such family member has resided with the tenant as a primary resident in the apartment for 2 years (1 year for "senior citizens" or "disabled persons") immediately prior to the permanent vacating of the apartment by the tenant, or from the inception of the tenancy or commencement of the relationship, if for less than such periods.

Upon the vacating of the family member who enters into a renewal lease (rent stabilization) or is protected from eviction (rent control) on or after June 20, 1997, where a "second generation" family member is entitled to a lease or is protected from eviction pursuant to these succession rules, the owner is entitled to collect from the "second generation" family member the vacancy allowances authorized by the rent stabilization and rent control laws, as amended by the Rent Regulation Reform Act of 1997.

2. For all housing accommodations, the minimum residency requirements will not be deemed to be interrupted by any period during which a family member temporarily relocates from the housing accommodation because he or she:

- (i) is engaged in active military duty;
- (ii) is enrolled as a full-time student;
- (iii) is not in residence at the housing accommodation pursuant to a court order not involving any term or provision of the lease, and not involving any grounds specified in the Real Property Actions and Proceedings Law;
- (iv) is engaged in employment requiring temporary relocation from the housing accommodation;
- (v) is hospitalized for medical treatment; or
- (vi) has other reasonable grounds that shall be determined by the DHCR upon application by the family member.

3. Definitions: a. "Family Member":

(i) A husband, wife, son, daughter, stepson, stepdaughter, father, mother, stepfather, stepmother, brother, sister, grandfather, grandmother, grandson, granddaughter, father-in-law, mother-in-law, son-in-law or daughter-in-law of the tenant (or permanent tenant for New York City rent stabilized hotels).

(ii) In addition to such "traditional" family members, for the purpose of renewal lease and eviction protection rights, a family member also includes those persons (nontraditional family members) who have resided with the tenant, as set forth in item 1 above, in a familial relationship based upon emotional and financial commitment and interdependence with the tenant or permanent tenant.

Several factors are considered in determining whether such commitment and interdependence existed between the tenant and family member, and no single factor is solely determinative. These factors are:

- there is longevity to the relationship (this consideration is separate from the required minimum residency periods discussed above, and may include the length of the relationship between the family member and the tenant prior to occupancy of the housing accommodation at issue);
- they have shared or relied upon each other for payment of household or family expenses and/or other common necessities of life; joint ownership of personal and real property, credit cards and loan obligations;
- they have intermingled their finances (for example, joint bank accounts or sharing a household budget for purposes of receiving government benefits);
- they have attended family functions together, or held themselves out as family members to other family members or society in general;
- they have formalized legal obligations with each other (for example, executing wills naming each other as executor and/or beneficiary, or serving as representative payees for purposes of public benefits; granting each other a power of attorney and/or conferring upon each other authority to make health care decisions each for the other; entering into a personal relationship contract);
- they relied upon each other for daily family services (for example, assistance during illness);
- engaging in any other pattern of behavior, agreement, or other action which evidences the intention of creating a long-term, emotionally-committed relationship.

Sexual relationships between tenants and such family members will not be considered as a factor.

b. "Senior Citizen": Rent Stabilization Code Section 2520.6 (p); Tenant Protection Regulations Section 2500.2 (o); City Rent Control Regulations Section 2204.6 (d) (3); State Rent Control Regulations Section 2104.6 (d) (3):

A person who is sixty-two years of age or older.

c. "Disabled Person": Rent Stabilization Code Section 2523.5 (b) (4); Tenant Protection Regulations Section 2503.5 (d) (4); City Rent Control Regulations Section 2204.6 (d) (3); State Rent Control Regulations Section 2104.6 (d) (3):

A person who has an impairment which results from anatomical, physiological or psychological conditions, other than addiction to alcohol, gambling, or any controlled substance, which are demonstrable by medically acceptable clinical and laboratory diagnostic techniques, and which are expected to be permanent and which substantially limit one or more of such person's major life activities.